

**CITY OF COSTA MESA
PROFESSIONAL SERVICES AGREEMENT
WITH
DUDEK**

THIS AGREEMENT is made and entered into this 20th day of June, 2016 ("Effective Date"), by and between the CITY OF COSTA MESA, a municipal corporation ("City"), and DUDEK, a California corporation ("Consultant").

WITNESSETH:

A. WHEREAS, City proposes to utilize the services of Consultant as an independent contractor to provide environmental consulting services for the 19th Street Bicycle Trail project, as more fully described herein; and

B. WHEREAS, Consultant represents that it has that degree of specialized expertise contemplated within California Government Code Section 37103, and holds all necessary licenses to practice and perform the services herein contemplated; and

C. WHEREAS, City and Consultant desire to contract for the specific services described in Exhibit "A" and desire to set forth their rights, duties and liabilities in connection with the services to be performed; and

D. WHEREAS, no official or employee of City has a financial interest, within the provisions of Sections 1090-1092 of the California Government Code, in the subject matter of this Agreement.

NOW, THEREFORE, for and in consideration of the mutual covenants and conditions contained herein, the parties hereby agree as follows:

1.0. SERVICES PROVIDED BY CONSULTANT

1.1. Scope of Services. Consultant shall provide the professional services described in Consultant's Proposal, attached hereto as Exhibit "A" and incorporated herein by this reference.

1.2. Professional Practices. All professional services to be provided by Consultant pursuant to this Agreement shall be provided by personnel experienced in their respective fields and in a manner consistent with the standards of care, diligence and skill ordinarily exercised by professional consultants in similar fields and circumstances in accordance with sound professional practices. Consultant also warrants that it is familiar with all laws that may affect its performance of this Agreement and shall advise City of any changes in any laws that may affect Consultant's performance of this Agreement.

1.3. Performance to Satisfaction of City. Consultant agrees to perform all the work to the complete satisfaction of the City and within the hereinafter specified. Evaluations of the work will be done by the City's Chief Executive Officer ("City CEO") or his or her designee. If the quality of work is not satisfactory, City in its discretion has the right to:

- (a) Meet with Consultant to review the quality of the work and resolve the

matters of concern;

- (b) Require Consultant to repeat the work at no additional fee until it is satisfactory; and/or
- (c) Terminate the Agreement as hereinafter set forth.

1.4. Warranty. Consultant warrants that it shall perform the services required by this Agreement in compliance with all applicable Federal and California employment laws, including, but not limited to, those laws related to minimum hours and wages; occupational health and safety; fair employment and employment practices; workers' compensation insurance and safety in employment; and all other Federal, State and local laws and ordinances applicable to the services required under this Agreement. Consultant shall indemnify and hold harmless City from and against all claims, demands, payments, suits, actions, proceedings, and judgments of every nature and description including attorneys' fees and costs, presented, brought, or recovered against City for, or on account of any liability under any of the above-mentioned laws, which may be incurred by reason of Consultant's performance under this Agreement.

1.5. Non-discrimination. In performing this Agreement, Consultant shall not engage in, nor permit its agents to engage in, discrimination in employment of persons because of their race, religion, color, national origin, ancestry, age, physical handicap, medical condition, marital status, sexual gender or sexual orientation, except as permitted pursuant to Section 12940 of the Government Code.

1.6. Non-Exclusive Agreement. Consultant acknowledges that City may enter into agreements with other consultants for services similar to the services that are subject to this Agreement or may have its own employees perform services similar to those services contemplated by this Agreement.

1.7. Delegation and Assignment. This is a personal service contract, and the duties set forth herein shall not be delegated or assigned to any person or entity without the prior written consent of City. Consultant may engage a subcontractor(s) as permitted by law and may employ other personnel to perform services contemplated by this Agreement at Consultant's sole cost and expense.

1.8. Confidentiality. Employees of Consultant in the course of their duties may have access to financial, accounting, statistical, and personnel data of private individuals and employees of City. Consultant covenants that all data, documents, discussion, or other information developed or received by Consultant or provided for performance of this Agreement are deemed confidential and shall not be disclosed by Consultant without written authorization by City. City shall grant such authorization if disclosure is required by law. All City data shall be returned to City upon the termination of this Agreement. Consultant's covenant under this Section shall survive the termination of this Agreement.

2.0. COMPENSATION AND BILLING

2.1. Compensation. Consultant shall be paid in accordance with the fee schedule set forth in Exhibit ^A Consultant's total compensation shall not exceed Forty-Nine Thousand Five Hundred Dollars (\$49,500.00).

2.2. Additional Services. Consultant shall not receive compensation for any services

provided outside the scope of services specified in the Consultant's Proposal unless the City or the Project Manager, prior to Consultant performing the additional services, approves such additional services in writing. It is specifically understood that oral requests and/or approvals of such additional services or additional compensation shall be barred and are unenforceable.

2.3. Method of Billing. Consultant may submit invoices to the City for approval on a progress basis, but no more often than two times a month. Said invoice shall be based on the total of all Consultant's services which have been completed to City's sole satisfaction. City shall pay Consultant's invoice within forty-five (45) days from the date City receives said invoice. Each invoice shall describe in detail, the services performed, the date of performance, and the associated time for completion. Any additional services approved and performed pursuant to this Agreement shall be designated as "Additional Services" and shall identify the number of the authorized change order, where applicable, on all invoices.

2.4. Records and Audits. Records of Consultant's services relating to this Agreement shall be maintained in accordance with generally recognized accounting principles and shall be made available to City or its Project Manager for inspection and/or audit at mutually convenient times from the Effective Date until three (3) years after termination of this Agreement.

3.0. TIME OF PERFORMANCE

3.1. Commencement and Completion of Work. The professional services to be performed pursuant to this Agreement shall commence within five (5) days from the Effective Date of this Agreement. Failure to commence work in a timely manner and/or diligently pursue work to completion may be grounds for termination of this Agreement.

3.2. Excusable Delays. Neither party shall be responsible for delays or lack of performance resulting from acts beyond the reasonable control of the party or parties. Such acts shall include, but not be limited to, acts of God, fire, strikes, material shortages, compliance with laws or regulations, riots, acts of war, or any other conditions beyond the reasonable control of a party.

4.0. TERM AND TERMINATION

4.1. Term. This Agreement shall commence on the Effective Date and continue for a period of five (5) years, ending on June 19, 2021, unless previously terminated as provided herein or as otherwise agreed to in writing by the parties.

4.2. Notice of Termination. The City reserves and has the right and privilege of canceling, suspending or abandoning the execution of all or any part of the work contemplated by this Agreement, with or without cause, at any time, by providing written notice to Consultant. The termination of this Agreement shall be deemed effective upon receipt of the notice of termination. In the event of such termination, Consultant shall immediately stop rendering services under this Agreement unless directed otherwise by the City.

4.3. Compensation. In the event of termination, City shall pay Consultant for reasonable costs incurred and professional services satisfactorily performed up to and including the date of City's written notice of termination. Compensation for work in progress shall be prorated based on the percentage of work completed as of the effective date of termination in accordance with the fees set forth herein. In ascertaining the professional services actually rendered hereunder up to the effective date of termination of this Agreement, consideration shall

be given to both completed work and work in progress, to complete and incomplete drawings, and to other documents pertaining to the services contemplated herein whether delivered to the City or in the possession of the Consultant.

4.4. Documents. In the event of termination of this Agreement, all documents prepared by Consultant in its performance of this Agreement including, but not limited to, finished or unfinished design, development and construction documents, data studies, drawings, maps and reports, shall be delivered to the City within ten (10) days of delivery of termination notice to Consultant, at no cost to City. Any use of uncompleted documents without specific written authorization from Consultant shall be at City's sole risk and without liability or legal expense to Consultant.

5.0. INSURANCE

5.1. Minimum Scope and Limits of Insurance. Consultant shall obtain, maintain, and keep in full force and effect during the life of this Agreement all of the following minimum scope of insurance coverages with an insurance company admitted to do business in California, rated "A," Class X, or better in the most recent Best's Key Insurance Rating Guide, and approved by City:

- (a) Commercial general liability, including premises-operations, products/completed operations, broad form property damage, blanket contractual liability, independent contractors, personal injury or bodily injury with a policy limit of not less than One Million Dollars (\$1,000,000.00), combined single limits, per occurrence. If such insurance contains a general aggregate limit, it shall apply separately to this Agreement or shall be twice the required occurrence limit.
- (b) Business automobile liability for owned vehicles, hired, and non-owned vehicles, with a policy limit of not less than One Million Dollars (\$1,000,000.00), combined single limits, per occurrence for bodily injury and property damage.
- (c) Workers' compensation insurance as required by the State of California. Consultant agrees to waive, and to obtain endorsements from its workers' compensation insurer waiving subrogation rights under its workers' compensation insurance policy against the City, its officers, agents, employees, and volunteers arising from work performed by Consultant for the City and to require each of its subcontractors, if any, to do likewise under their workers' compensation insurance policies.
- (d) Professional errors and omissions ("E&O") liability insurance with policy limits of not less than One Million Dollars (\$1,000,000.00), combined single limits, per occurrence and aggregate. Architects' and engineers' coverage shall be endorsed to include contractual liability. If the policy is written as a "claims made" policy, the retro date shall be prior to the start of the contract work. Consultant shall obtain and maintain, said E&O liability insurance during the life of this Agreement and for three years after completion of the work hereunder.

5.2. Endorsements. The commercial general liability insurance policy and business

automobile liability policy shall contain or be endorsed to contain the following provisions:

- (a) Additional insureds: "The City of Costa Mesa and its elected and appointed boards, officers, officials, agents, employees, and volunteers are additional insureds with respect to: liability arising out of activities performed by or on behalf of the Consultant pursuant to its contract with the City; products and completed operations of the Consultant; premises owned, occupied or used by the Consultant; automobiles owned, leased, hired, or borrowed by the Consultant."
- (b) Notice: "Said policy shall not terminate, be suspended, or voided, nor shall it be cancelled, nor the coverage or limits reduced, until thirty (30) days after written notice is given to City."
- (c) Other insurance: "The Consultant's insurance coverage shall be primary insurance as respects the City of Costa Mesa, its officers, officials, agents, employees, and volunteers. Any other insurance maintained by the City of Costa Mesa shall be excess and not contributing with the insurance provided by this policy."
- (d) Any failure to comply with the reporting provisions of the policies shall not affect coverage provided to the City of Costa Mesa, its officers, officials, agents, employees, and volunteers.
- (e) The Consultant's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.

5.3. Deductible or Self Insured Retention. If any of such policies provide for a deductible or self-insured retention to provide such coverage, the amount of such deductible or self-insured retention shall be approved in advance by City. No policy of insurance issued as to which the City is an additional insured shall contain a provision which requires that no insured except the named insured can satisfy any such deductible or self-insured retention.

5.4. Certificates of Insurance. Consultant shall provide to City certificates of insurance showing the insurance coverages and required endorsements described above, in a form and content approved by City, prior to performing any services under this Agreement. The certificates of insurance shall be attached hereto as Exhibit "B" and incorporated herein by this reference.

5.5. Non-limiting. Nothing in this Section shall be construed as limiting in any way, the indemnification provision contained in this Agreement, or the extent to which Consultant may be held responsible for payments of damages to persons or property.

6.0. GENERAL PROVISIONS

6.1. Entire Agreement. This Agreement constitutes the entire agreement between the parties with respect to any matter referenced herein and supersedes any and all other prior writings and oral negotiations. This Agreement may be modified only in writing, and signed by the parties in interest at the time of such modification. The terms of this Agreement shall prevail over any inconsistent provision in any other contract document appurtenant hereto, including

exhibits to this Agreement.

6.2. Representatives. The City CEO or his or her designee shall be the representative of City for purposes of this Agreement and may issue all consents, approvals, directives and agreements on behalf of the City, called for by this Agreement, except as otherwise expressly provided in this Agreement.

Consultant shall designate a representative for purposes of this Agreement who shall be authorized to issue all consents, approvals, directives and agreements on behalf of Consultant called for by this Agreement, except as otherwise expressly provided in this Agreement.

6.3. Project Managers. City shall designate a Project Manager to work directly with Consultant in the performance of this Agreement.

Consultant shall designate a Project Manager who shall represent it and be its agent in all consultations with City during the term of this Agreement. Consultant or its Project Manager shall attend and assist in all coordination meetings called by City.

6.4. Notices. Any notices, documents, correspondence or other communications concerning this Agreement or the work hereunder may be provided by personal delivery, facsimile or mail and shall be addressed as set forth below. Such communication shall be deemed served or delivered: a) at the time of delivery if such communication is sent by personal delivery; b) at the time of transmission if such communication is sent by facsimile; and c) 48 hours after deposit in the U.S. Mail as reflected by the official U.S. postmark if such communication is sent through regular United States mail.

IF TO CONSULTANT:

Dudek
605 Third Street
Encinitas, CA 92024
Tel: (760) 942-5147
Fax: (760) 632-0164
Attn: Shawn S. Shamlou

IF TO CITY:

City of Costa Mesa
77 Fair Drive
Costa Mesa, CA 92626
Tel: (714) 754-5017
Fax: (714) 754-5028
Attn: David Cho

6.5. Drug-free Workplace Policy. Consultant shall provide a drug-free workplace by complying with all provisions set forth in City's Council Policy 100-5, attached hereto as Exhibit "C" and incorporated herein by reference. Consultant's failure to conform to the requirements set forth in Council Policy 100-5 shall constitute a material breach of this Agreement and shall be cause for immediate termination of this Agreement by City.

6.6. Attorneys' Fees. In the event that litigation is brought by any party in connection with this Agreement, the prevailing party shall be entitled to recover from the opposing party all costs and expenses, including reasonable attorneys' fees, incurred by the prevailing party in the exercise of any of its rights or remedies hereunder or the enforcement of any of the terms, conditions, or provisions hereof.

6.7. Governing Law. This Agreement shall be governed by and construed under the laws of the State of California without giving effect to that body of laws pertaining to conflict of laws. In the event of any legal action to enforce or interpret this Agreement, the parties hereto

agree that the sole and exclusive venue shall be a court of competent jurisdiction located in Orange County, California.

6.8. Assignment. Consultant shall not voluntarily or by operation of law assign, transfer, sublet or encumber all or any part of Consultant's interest in this Agreement without City's prior written consent. Any attempted assignment, transfer, subletting or encumbrance shall be void and shall constitute a breach of this Agreement and cause for termination of this Agreement. Regardless of City's consent, no subletting or assignment shall release Consultant of Consultant's obligation to perform all other obligations to be performed by Consultant hereunder for the term of this Agreement.

6.9. Indemnification and Hold Harmless. Consultant agrees to defend, indemnify, hold free and harmless the City, its elected officials, officers, agents and employees, at Consultant's sole expense, from and against any and all claims, actions, suits or other legal proceedings brought against the City, its elected officials, officers, agents and employees arising out of the performance of the Consultant, its employees, and/or authorized subcontractors, of the work undertaken pursuant to this Agreement. The defense obligation provided for hereunder shall apply without any advance showing of negligence or wrongdoing by the Consultant, its employees, and/or authorized subcontractors, but shall be required whenever any claim, action, complaint, or suit asserts as its basis the negligence, errors, omissions or misconduct of the Consultant, its employees, and/or authorized subcontractors, and/or whenever any claim, action, complaint or suit asserts liability against the City, its elected officials, officers, agents and employees based upon the work performed by the Consultant, its employees, and/or authorized subcontractors under this Agreement, whether or not the Consultant, its employees, and/or authorized subcontractors are specifically named or otherwise asserted to be liable. Notwithstanding the foregoing, the Consultant shall not be liable for the defense or indemnification of the City for claims, actions, complaints or suits arising out of the sole active negligence or willful misconduct of the City. This provision shall supersede and replace all other indemnity provisions contained either in the City's specifications or Consultant's Proposal, which shall be of no force and effect.

6.10. Independent Contractor. Consultant is and shall be acting at all times as an independent contractor and not as an employee of City. Consultant shall have no power to incur any debt, obligation, or liability on behalf of City or otherwise act on behalf of City as an agent. Neither City nor any of its agents shall have control over the conduct of Consultant or any of Consultant's employees, except as set forth in this Agreement. Consultant shall not, at any time, or in any manner, represent that it or any of its agents or employees are in any manner agents or employees of City. Consultant shall secure, at its sole expense, and be responsible for any and all payment of Income Tax, Social Security, State Disability Insurance Compensation, Unemployment Compensation, and other payroll deductions for Consultant and its officers, agents, and employees, and all business licenses, if any are required, in connection with the services to be performed hereunder. Consultant shall indemnify and hold City harmless from any and all taxes, assessments, penalties, and interest asserted against City by reason of the independent contractor relationship created by this Agreement. Consultant further agrees to indemnify and hold City harmless from any failure of Consultant to comply with the applicable worker's compensation laws. City shall have the right to offset against the amount of any fees due to Consultant under this Agreement any amount due to City from Consultant as a result of Consultant's failure to promptly pay to City any reimbursement or indemnification arising under this paragraph.

6.11. PERS Eligibility Indemnification. In the event that Consultant or any employee, agent, or subcontractor of Consultant providing services under this Agreement claims or is determined by a court of competent jurisdiction or the California Public Employees Retirement System (PERS) to be eligible for enrollment in PERS as an employee of the City, Consultant shall indemnify, defend, and hold harmless City for the payment of any employee and/or employer contributions for PERS benefits on behalf of Consultant or its employees, agents, or subcontractors, as well as for the payment of any penalties and interest on such contributions, which would otherwise be the responsibility of City.

Notwithstanding any other agency, state or federal policy, rule, regulation, law or ordinance to the contrary, Consultant and any of its employees, agents, and subcontractors providing service under this Agreement shall not qualify for or become entitled to, and hereby agree to waive any claims to, any compensation, benefit, or any incident of employment by City, including but not limited to eligibility to enroll in PERS as an employee of City and entitlement to any contribution to be paid by City for employer contribution and/or employee contributions for PERS benefits.

6.12. Cooperation. In the event any claim or action is brought against City relating to Consultant's performance or services rendered under this Agreement, Consultant shall render any reasonable assistance and cooperation which City might require.

6.13. Ownership of Documents. All findings, reports, documents, information and data including, but not limited to, computer tapes or discs, files and tapes furnished or prepared by Consultant or any of its subcontractors in the course of performance of this Agreement, shall be and remain the sole property of City. Consultant agrees that any such documents or information shall not be made available to any individual or organization without the prior consent of City. Any use of such documents for other projects not contemplated by this Agreement, and any use of incomplete documents, shall be at the sole risk of City and without liability or legal exposure to Consultant. City shall indemnify and hold harmless Consultant from all claims, damages, losses, and expenses, including attorneys' fees, arising out of or resulting from City's use of such documents for other projects not contemplated by this Agreement or use of incomplete documents furnished by Consultant. Consultant shall deliver to City any findings, reports, documents, information, data, in any form, including but not limited to, computer tapes, discs, files audio tapes or any other related items as requested by City or its authorized representative, at no additional cost to the City.

6.14. Public Records Act Disclosure. Consultant has been advised and is aware that this Agreement and all reports, documents, information and data, including, but not limited to, computer tapes, discs or files furnished or prepared by Consultant, or any of its subcontractors, pursuant to this Agreement and provided to City may be subject to public disclosure as required by the California Public Records Act (California Government Code Section 6250 *et seq.*). Exceptions to public disclosure may be those documents or information that qualify as trade secrets, as that term is defined in the California Government Code Section 6254.7, and of which Consultant informs City of such trade secret. The City will endeavor to maintain as confidential all information obtained by it that is designated as a trade secret. The City shall not, in any way, be liable or responsible for the disclosure of any trade secret including, without limitation, those records so marked if disclosure is deemed to be required by law or by order of the Court.

6.15. Conflict of Interest. Consultant and its officers, employees, associates and subconsultants, if any, will comply with all conflict of interest statutes of the State of California applicable to Consultant's services under this agreement, including, but not limited to, the

Political Reform Act (Government Code Sections 81000, *et seq.*) and Government Code Section 1090. During the term of this Agreement, Consultant and its officers, employees, associates and subconsultants shall not, without the prior written approval of the City Representative, perform work for another person or entity for whom Consultant is not currently performing work that would require Consultant or one of its officers, employees, associates or subconsultants to abstain from a decision under this Agreement pursuant to a conflict of interest statute.

6.16. Responsibility for Errors. Consultant shall be responsible for its work and results under this Agreement. Consultant, when requested, shall furnish clarification and/or explanation as may be required by the City's representative, regarding any services rendered under this Agreement at no additional cost to City. In the event that an error or omission attributable to Consultant occurs, then Consultant shall, at no cost to City, provide all necessary design drawings, estimates and other Consultant professional services necessary to rectify and correct the matter to the sole satisfaction of City and to participate in any meeting required with regard to the correction.

6.17. Prohibited Employment. Consultant will not employ any regular employee of City while this Agreement is in effect.

6.18. Order of Precedence. In the event of an inconsistency in this Agreement and any of the attached exhibits, the terms set forth in this Agreement shall prevail. If, and to the extent this Agreement incorporates by reference any provision of any document, such provision shall be deemed a part of this Agreement. Nevertheless, if there is any conflict among the terms and conditions of this Agreement and those of any such provision or provisions so incorporated by reference, this Agreement shall govern over the document referenced.

6.19. Costs. Each party shall bear its own costs and fees incurred in the preparation and negotiation of this Agreement and in the performance of its obligations hereunder except as expressly provided herein.

6.20. No Third Party Beneficiary Rights. This Agreement is entered into for the sole benefit of City and Consultant and no other parties are intended to be direct or incidental beneficiaries of this Agreement and no third party shall have any right in, under or to this Agreement.

6.21. Headings. Paragraphs and subparagraph headings contained in this Agreement are included solely for convenience and are not intended to modify, explain or to be a full or accurate description of the content thereof and shall not in any way affect the meaning or interpretation of this Agreement.

6.22. Construction. The parties have participated jointly in the negotiation and drafting of this Agreement. In the event an ambiguity or question of intent or interpretation arises with respect to this Agreement, this Agreement shall be construed as if drafted jointly by the parties and in accordance with its fair meaning. There shall be no presumption or burden of proof favoring or disfavoring any party by virtue of the authorship of any of the provisions of this Agreement.

6.23. Amendments. Only a writing executed by the parties hereto or their respective successors and assigns may amend this Agreement.

6.24. Waiver. The delay or failure of either party at any time to require performance or compliance by the other of any of its obligations or agreements shall in no way be deemed a waiver of those rights to require such performance or compliance. No waiver of any provision of this Agreement shall be effective unless in writing and signed by a duly authorized representative of the party against whom enforcement of a waiver is sought. The waiver of any right or remedy in respect to any occurrence or event shall not be deemed a waiver of any right or remedy in respect to any other occurrence or event, nor shall any waiver constitute a continuing waiver.

6.25. Severability. If any provision of this Agreement is determined by a court of competent jurisdiction to be unenforceable in any circumstance, such determination shall not affect the validity or enforceability of the remaining terms and provisions hereof or of the offending provision in any other circumstance. Notwithstanding the foregoing, if the value of this Agreement, based upon the substantial benefit of the bargain for any party, is materially impaired, which determination made by the presiding court or arbitrator of competent jurisdiction shall be binding, then both parties agree to substitute such provision(s) through good faith negotiations.

6.26. Counterparts. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original. All counterparts shall be construed together and shall constitute one agreement.

6.27. Corporate Authority. The persons executing this Agreement on behalf of the parties hereto warrant that they are duly authorized to execute this Agreement on behalf of said parties and that by doing so the parties hereto are formally bound to the provisions of this Agreement.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed by and through their respective authorized officers, as of the date first above written.

CITY OF COSTA MESA,
A municipal corporation



Chief Executive Officer

Date: 7/6/16

CONSULTANT



Signature **Dudek**
Frank Dudek

Name and Title **President**

Social Security or Taxpayer ID Number

Date: JUNE 29, 2016

ATTEST:

[Redacted Signature]

City Clerk



APPROVED AS TO FORM:

[Redacted Signature]

City Attorney

Date: 06/22/16

APPROVED AS TO INSURANCE:

[Redacted Signature]

Risk Management

Date: 6/22/16

APPROVED AS TO CONTENT:

[Redacted Signature]

Project Manager

Date: 6-23-16

APPROVED AS TO PURCHASING:

[Redacted Signature]

Interim Finance Director

Date: 6.23.16

DEPARTMENTAL APPROVAL

[Redacted Signature]

Interim Public Services Director

Date: 6-23-16

EXHIBIT A
CONSULTANT'S PROPOSAL



MAIN OFFICE
605 THIRD STREET
ENCINITAS, CALIFORNIA 92074
T 760.942.5147 T 800.450.1818 F 760.632.0164

May 18, 2016

Mr. Raja Sethuraman, Transportation Services Manager
City of Costa Mesa
34156 Del Obispo Street
Dana Point, CA 92629

Subject: Scope of Work to Provide Environmental Consulting Services for the 19th Street Bicycle Facility Project

Dear Raja,

Dudek submits this scope of work for environmental consulting services related to the 19th Street Bicycle Facility project. Orange County Sanitation District (OCSD) prepared a Final EIR in 2014 addressing its 06-19 Trunk Sewer project, located in the same alignment as the City's project. Subsequent to the Final EIR's certification, the City has designed the project and seeks to tier off of OCSD's Final EIR.

This scope of work is to reflect additional CEQA review and biology tasks to incorporate the bicycle facility project to the previously certified Final EIR. Our scope of work to prepare a CEQA Addendum and related tasks follows.

SCOPE OF WORK

TASK I: BIOLOGY

Biological Resource Survey and Letter Report

Dudek biologists will utilize biological resource mapping prepared for the Orange County Sanitation District (OCSD) Costa Mesa Trunk Sewer Project 06-19 (November 2013). A current field reconnaissance survey will be conducted to confirm the previous mapping of vegetation communities and jurisdictional resources. Biologists will cover the study area of the proposed bike path (assumed 50-foot wide mapping corridor) by foot. Dudek will map vegetation communities and land covers according to the Orange County Land Cover and Habitat Classification System (Gray and Bramlet 1992). Community classifications will be selected based on site factors, descriptions, distribution, and characteristic species present within an area. Information such as dominant species and their associated cover classes, aspect, canopy height, and visible disturbance factors will be recorded on field data forms, described above. In some areas, the vegetation communities observed in the field may not match those described in Gray and Bramlet (1992). In these instances, Dudek will generate additional site-specific vegetation community or land cover classifications.

Dudek biologists will map jurisdictional waters on the project site in the field, directly onto 100-scale (1 inch = 100 feet), false-color digital orthographic maps. The extent of wetland features will be determined by the location of riparian vegetation and data collected for the previous jurisdictional delineation. However, Dudek will not conduct a new formal jurisdictional delineation of waters of the United States, as it is assumed that the project will avoid jurisdictional resources. Similarly, the extent of suitable habitat for special-status species

Mr. Raja Sethuraman

Subject: Proposal to Provide Environmental Consulting Services for the 19th Street Bicycle Facility Project

will be verified, but no focused surveys (e.g., protocol surveys for California gnatcatcher or least Bell's vireo) are proposed. It is assumed that the project will avoid direct impacts to suitable habitat for these species and the project can be constructed outside the breeding season to avoid indirect impacts during construction.

Dudek will document the survey findings presented in a biological resources letter report. The report will include a discussion of the survey methodology according to the appropriate protocol and adequacy of the surveys. Vegetation communities and special-status biological resources will be described in terms of their regional significance and presence on site, including an estimate of observed special-status species' population size and condition. All biological resources observed or with potential to occur on site with any reasonable potential to constrain the project will be addressed in the report.

The report will include an impacts analysis impacts, and an assessment of the significance of impacts in accordance with NEPA and CEQA. Direct, indirect, and within-project cumulative effects for both short-term and long-term effects of the proposed project will be evaluated. Dudek will recommend specific avoidance, minimization, and mitigation measures for impacts identified that reduce impacts to a level below significance, if possible. Proposed mitigation requirements for potential impacts to sensitive or special-status resources will be discussed in terms of regional planning, and city, state, and federal laws and guidelines to comply with all existing biological resource laws, regulations, and court precedent, including NEPA, CEQA, and MBTA. Graphics will be prepared to illustrate the location of the site, the existing biological conditions, and the proposed project impacts. All plant and wildlife species encountered and identified will be recorded to include as an appendix to the biological resources letter report.

Two versions of the report are assumed under this scope of work and cost estimate; a draft report will be provided to the City, followed by a final report that address any comments from City staff. This scope of work does not include response to public or resource agency comments, attendance at resource agency meeting, or preparation of mitigation plans. The City will provide engineering drawings for the proposed impact areas for the impacts analysis section; up to two iterations of the impacts will be analyzed, additional design changes would require a scope/fee amendment.

Cost estimate for this task: \$9,500.00

TASK 2: PREPARE CEQA ADDENDUM

The City would like Dudek to prepare a CEQA Addendum to incorporate the City's bicycle facility project and impacts in relation to the OCSD certified FEIR. In order to fit within a CEQA Addendum to the OCSD 6-19 FEIR pursuant to California Government Code section 15164, the City can prepare an addendum to a previously certified FEIR if some changes or additions are necessary, but where there are no substantial changes or new information of substantial importance (as described in Section 15162). The Addendum would describe changes to the project description and environmental impacts described in the FEIR.

Dudek will prepare a CEQA Addendum outlining the proposed changes, pursuant to Section 15164 of the CEQA Guidelines. The Addendum will present the minor technical changes to the Final MND. The Addendum will specify the incorporation of the bicycle facility project, and how project does not result in new significant impacts. Dudek has preliminarily reviewed the closest mapped biological resources to the

Mr. Raja Sethuraman

Subject: Proposal to Provide Environmental Consulting Services for the 19th Street Bicycle Facility Project

proposed trail features. The proposed trail features appear to be wholly located within the previously analyzed OCSD Force Main alignment. Potential exceptions to preparing an addendum are below based on our preliminary review of the project:

The proposed structural retaining wall on the slope from 19th street down to the park (presumably along Balboa Blvd.) was not specifically proposed by OCSD. Impacts would need to have occurred wholly within the same footprint as OCSD's, which they appear to do based on the map provided. The OCSD FEIR already identified construction of a facility in this alignment that would have very similar impacts and mitigation. The City can probably "self-mitigate" by doing some restoration plantings on the slope, since it's mostly disturbed, non-native species on that slope currently.

Also, the proposed trail use is also different. Indirect impacts of bicycle use in Talbert Park adjacent to vireo habitat could be a potential issue. The FHWA funding will likely be a sufficient nexus for Section 7, so the City should coordinate with the USFWS (Christine Medak) soon. Dudek would work with the City to make the case that indirect impacts are reduced by a more developed trail that will get regular use as opposed to the current, more isolated condition, which is more likely to attract the type of human use that is more potentially destructive because folks are hanging out longer and "hiding out" (e.g., homeless, teenagers, etc.). With regular use, human presence may be more frequent, but will more likely consist of brief pass-throughs. The City/Dudek would need to present an argument why no mitigation measures are required.

We assume we would focus on biology and cultural resources in the addendum, with lesser write-ups on the remaining CEQA topics. The addendum take approximately 3 weeks after the biology survey and report are completed.

This scope of work assumes that Dudek would prepare the CEQA Addendum, submit to the City for review, and then Dudek will incorporate revisions. This scope of work and cost estimate assumes the need for up to four graphics. We assume the main body of document would be no longer than 15 pages in length. We assume the Addendum will be filed at the City and that posting at County Clerk's office is not required. One meeting is included in this scope and cost.

Cost estimate for this task: \$12,500.00

TASK 3: NEPA COMPLIANCE

We understand FHWA funding is involved, and hence NEPA is triggered, to be coordinated through Caltrans. We assume preparation of a Categorical Exclusion (CE) with Technical Studies would suffice. The OCSD biology and cultural technical reports would be used to support the CE, along with the newer biology memo described above. We assume no other technical studies would be needed, although that is decided entirely by Caltrans. The cost and schedule is difficult to predict, but assuming Caltrans agrees with the above, the CE would be signed after the addendum is filed, and would take anywhere from 3 months to a year; we assume a 6-month duration.

Cost estimate for this task: \$10,000.00

TASK 4: ADDITIONAL STUDIES

Additional Studies as requested by Caltrans for NEPA, as-needed Section 7 coordination with USFWS, and coastal permitting support are included in this task, as requested by the City. The scope for the Section 7 effort is described below.

Section 7 Consultation – US Fish and Wildlife Service

Dudek understands that the project may receive federal funding from the Federal Highways Administration (FHWA) and is located within the Central and Coastal Orange County Natural Communities Conservation Plan/Habitat Conservation Plan (NCCP/HCP) Reserve. The portion of the NCCP/HCP Reserve that the project intersects is owned by the County of Orange and operated by Orange County Parks (OC Parks). The County of Orange is a “participating landowner” under the NCCP/HCP; the City is considered a “non-participating landowner.” Uses within the Reserve are subject to the NCCP/HCP and the associated Implementing Agreement and Environmental Impact Report/Study (EIR/EIS). The NCCP/HCP provides coverage for incidental take to multiple species and habitats regulated by the US Fish and Wildlife Service (USFWS) and California Department of Fish and Wildlife (CDFW).

It is assumed that FHWA will be required to consult with the USFWS, pursuant to Section 7 of the federal Endangered Species Act. It is assumed that the consultation will be “informal” (i.e., a Biological Opinion does not need to be issued by USFWS). The Biological Resources Letter Report prepared for the project will include a summary evaluation of the project’s conformance with the NCCP/HCP. This information should be sufficient for FHWA to determine if they will initiate consultation with USFWS. Dudek will provide as-needed additional documentation and/or attend meetings to provide FHWA and the USFWS with the necessary information to complete the informal Section 7 consultation, up to approximately 30 staff hours. As an example, this number of staff hours could be utilized for senior biological staff to attend up to three meeting and provide up to two summary memos of discussion items, utilizing existing data (i.e., no additional surveys).

Cost estimate for this subtask: \$5,200.00

Other Studies and Support

Dudek has allotted up to \$12,300 to prepare any other technical studies required by Caltrans or the City, and to provide support for coastal permitting. It is understood that for full coastal development permitting support, additional scope is necessary,

Cost estimate for this subtask: \$12,300.00

Cost estimate for this task: \$17,500.00

Mr. Raja Sethuraman

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Summing up these tasks, we request a budget in the amount of **\$49,500.00**. We look forward to work on this project with you. Please do not hesitate to contact me with any questions.

Sincerely,



Principal